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PTO/SB/05 (11-00)

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## UTILITY **PATENT APPLICATION** TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney   | ney Docket No. 13869 |                  |  |
|--|----------------------|------------------|--|
| First Inv  | rentor               | Sahar AL-MALAIKA |  |
| Title Thermoplastic Molding Compositions and Polymer Additives |                      |                  |  |
| Express  | Mail Label No        | FI 716470046 IIS |  |

| APPLICATION ELEMENTS   |  | Assistant Commissioner for Patents   |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| See MPEP chapter 600 concerning utility patent application contents.           |  | ADDRESS TO: Box Patent Application Washington, D.C. 20231  |  |  |  |  |  |
|  | Fee Transmittal Form (e.g., PTO/SB/17) Submit an original, and a duplicate for fee processing)   |  |  |  |  |  |  |
| 2 A  | Applicant claims small entity status.<br>See 37 CFR 1.27.  | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |  |  |  |  |  |
|  | Specification [Total Pages 42] preferred arrangement set forth below)  | a. Computer Readable Form (CRF)  |  |  |  |  |  |
|  | Descriptive title of the invention   | b. Specification Sequence Listing on:  |  |  |  |  |  |
|  | Cross Reference to Related Applications<br>Statement Regarding Fed sponsored R & D   | i. CD-ROM or CD-R (2 copies); or   |  |  |  |  |  |
| -  | Reference to sequence listing, a table,  | ii. 🔲 paper  |  |  |  |  |  |
| -  | or a computer program listing appendix - Background of the Invention  c. Statements verifying identity of  |  |  |  |  |  |  |
| ] :  | Brief Summary of the Invention Brief Description of the Drawings (if filed)  | ACCOMPANYING APPLICATION PARTS   |  |  |  |  |  |
|  | Detailed Description Claim(s)  | Assignment Papers (cover sheet & document(s))  |  |  |  |  |  |
|  | Abstract of the Disclosure   | 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney   |  |  |  |  |  |
|  |  | 11. English Translation Document (if applicable)   |  |  |  |  |  |
|  | Prawing(s) (35 U.S.C. 113) [Total ]  | 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations  |  |  |  |  |  |
| 5. Oath or l   | Declaration [Total Pages ]  Newly executed (original or copy)  | 13. Preliminary Amendment  |  |  |  |  |  |
| " <u> </u>   | Copy from a prior application (37 CFR 1.63(d))   | 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |  |  |  |  |  |
| b. [   | (for continuation/divisional with Box 18 completed)  | Certified Copy of Priority Document(s)   |  |  |  |  |  |
|  | i. DELETION OF INVENTOR(S)   | Request and Certification under 35 U.S.C. 122  |  |  |  |  |  |
|  | Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR  16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |  |  |  |  |  |
| 6. A   | 1.63(d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76   | 17. Other: Check for \$1,550.00  |  |  |  |  |  |
| 18. If a Co  | ONTINUING APPLICATION, check appropriate box, and s cation Data Sheet under 37 CFR 1.76:   | upply the requisite information below and in a preliminary amendment,  |  |  |  |  |  |
|  |  | t (CIP) of prior application No.:  |  |  |  |  |  |
| Prior  | application information: Examiner  | Group / Art Unit   |  |  |  |  |  |
| For CONTIN   | UATION OR DIVISIONAL APPS only: The entire disclosur   | e of the prior application, from which an oath or declaration is supplied ying continuation or divisional application and is hereby incorporated by            |  |  |  |  |  |
| reference. The   | ne incorporation can only be relied upon when a portion h  | as been inadvertently omitted from the submitted application parts.  |  |  |  |  |  |
|  | 19. CORRESPON  | NDENCE ADDRESS   |  |  |  |  |  |
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| Name (Pnnt/Type) David A. Farah, M.D. Registration No. (Attorney/Agent) 38,134 |  |  |  |  |  |  |  |
| Signat   | A THIRD.   | Registration No. (Attorney/Agent) 38,134  Date October 29, 2001  |  |  |  |  |  |
|  |  | Date   October 29, 2001  |  |  |  |  |  |

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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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| Complete if Known    |                  |  |  |  |
|----------------------|------------------|--|--|--|
| Application Number   | to be assigned   |  |  |  |
| Filing Date          | October 29, 2001 |  |  |  |
| First Named Inventor | Sahar Al-MALAIKA |  |  |  |
| Examiner Name        | to be assigned   |  |  |  |
| Group Art Unit       | to be assigned   |  |  |  |
| Attorney Docket No.  | 13869            |  |  |  |

| METHOL   | D OF PAYMENT  |             |                       |   | FE              | E CALCULATION (                                       | continued)              |          |
|--|---|-------------|-----------------------|---|-----------------|---|-------------------------|----------|
| 1. The Commindicated Deposit   | missioner is hereby authorized to charge fees and credit any overpayments to: | Large       | Entity                | IONA<br>Small                           | L FEI<br>Entity | ES  |                         |          |
| Account  | 19-2090   | Fee<br>Code | Fee<br>(\$)           | Fee<br>Code                             | Fee<br>(\$)     | Fee Descrip   | tion                    | Fee Paid |
| Number   |   | 105         |                       |   |                 | Surcharge - late filing fee                           | or oath                 |          |
| Deposit<br>Account<br>Name   | Sheldon & Mak   | 127         | 50                    | 227                                     | 25              | Surcharge - late provision sheet                      | nal filing fee or cover |          |
|  | y Additional Fee Required   | 139         | 130                   | 139                                     | 130             | Non - English specification                           | n                       |          |
| •  | FR §§ 1.16 and 1.17   | 147         | 2,520                 | 147                                     | 2,520           | For filing a request for ex                           | parte reexamination     |          |
| See 37 CFF   | laims small entity status.<br>R § 1.27  | 112         | 920*                  | 112                                     | 920*            | Requesting publication of<br>Examiner action          | SIR prior to            |          |
| 2. X Payment   | t Enclosed:   | 113         | 1,840*                | 113                                     | 1,840*          | Requesting publication of action                      | SIR after Examiner      |          |
| Check  | Credit card Money Other   | 115         | 110                   | 215                                     | 55              | Extension for reply within                            | first month             |          |
| FE   | EE CALCULATION  | 116         | 400                   | 216                                     | 200             | Extension for reply within                            | second month            |          |
| 1. BASIC FILI  | NG FEE  | 117         | 920                   | 217                                     | 460             | Extension for reply within                            | third month             |          |
| Large Entity Sma   |   | 118         | 1,440                 | 218                                     | 720             | Extension for reply within                            | fourth month            |          |
| Code (\$) Code   | Fee Fee Description (\$) Fee Paid   | 128         | 1,960                 | 228                                     | 980             | Extension for reply within                            | fifth month             |          |
| 101 740 201 :  | 370 Utility filing fee 740.00   | 119         | 320                   | 219                                     | 160             | Notice of Appeal                                      |                         |          |
| 106 330 206  | 165 Design filing fee   | 120         | 320                   | 220                                     | 160             | Filing a brief in support of                          | an appeal               |          |
| 107 510 207  | 255 Plant filing fee  | 121         | 280                   | 221                                     | 140             | Request for oral hearing                              |                         |          |
| 108 740 208 :  | 370 Reissue filing fee  | 138         | 1,510                 | 138                                     | 1,510           | Petition to institute a publ                          | ic use proceeding       |          |
| 114 160 214  | 80 Provisional filing fee   | 140         | 110                   | 240                                     | 55              | Petition to revive - unavoi                           | dable                   |          |
|  | SUBTOTAL (1) \$740.00   | 141         | 1,280                 | 241                                     | 640             | Petition to revive - uninter                          | ntional                 |          |
| 2. EXTRA CLA   | IM EEES   | 142         | 1,280                 | 242                                     | 640             | Utility Issue fee (or reissu-                         | e)                      |          |
|  | Fee from  | 143         | 460                   | 243                                     | 230             | Design issue fee                                      |                         | ,        |
|  | tra Claims below Fee Paid<br>-20** = 45 X 18.00 = 810.00                      | 144         | 620                   | 244                                     | 310             | Plant issue fee                                       |                         |          |
| Independent 3  | -20** = 45 X 18.00 = 810.00<br>-3** = 0 X 84.00 = 0.00                        | 122         | 130                   | 122                                     | 130             | Petitions to the Commissi                             | oner                    |          |
| Claims Multiple Dependent  |   | 123         | 50                    | 123                                     | 50              | Processing fee under 37                               | CFR § 1.17(q)           |          |
| Large Entity Smal  | Il Entity Fee Fee Description   | 126         | 180                   | 126                                     | 180             | Submission of Information Statement                   | Disclosure              |          |
| Code (\$) Code   |   | 581         | 40                    | 581                                     | 40              | Recording each patent as<br>property (times number of | signment per            |          |
|  | 9 Claims in excess of 20 42 Independent claims in excess of 3                 | 146         | 740                   | 246                                     | 370             | Filing a submission after f<br>(37 CFR § 1.129(a))    |                         |          |
| 104 280 204 14   |   | 149         | 740                   | 249                                     | 370             | For each additional invent<br>(37 CFR § 1.129(b))     | ion to be examined      |          |
| 109 84 209 4   | 42 ** Reissue independent claims  | 179         | 740                   | 279                                     | 370             | Request for Continued Ex                              | amination (RCE)         |          |
| 110 10 010   | over original patent  | 169         | 900                   | 169                                     | 900             | Request for expedited exa                             |                         |          |
| 110 18 210   | 9 ** Reissue claims in excess of 20 and over original patent                  | Othe        | er fee (s             | specify)                                |                 | of a design application                               |                         |          |
|  | SUBTOTAL (2) \$810.00   |             | ·                     | • |                 |   |                         |          |
| **or number previously paid, if greater; For Reissues, see above *Redu |   |             |                       | y Basic                                 | Filing I        | Fee Paid SUBTO  | OTAL (3)                |          |
| SUBMITTED BY   | SUBMITTED BY Complete (if applicable)   |             |                       |   |                 |   |                         |          |
| Name   | David A. Farah, M.D.  |             | Registra<br>Attorney/ | tion No<br>'Agent)                      |                 | 38,134 Telephon                                       | 626/796-4               | 000      |
| Signature  | Day Far   |             |                       |   |                 | Date  | October 29, 2           | 001      |

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